

Phase 1/2 Study of Cirtuzumab and Ibrutinib in Mantle Cell Lymphoma (MCL) or Chronic Lymphocytic Leukemia (CLL)

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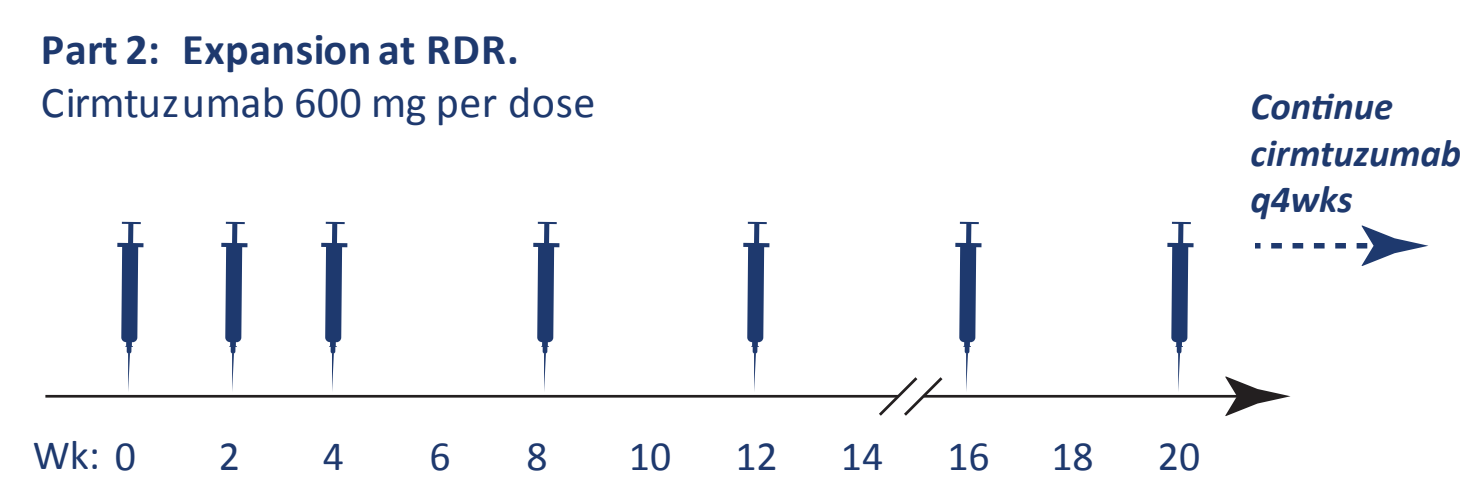
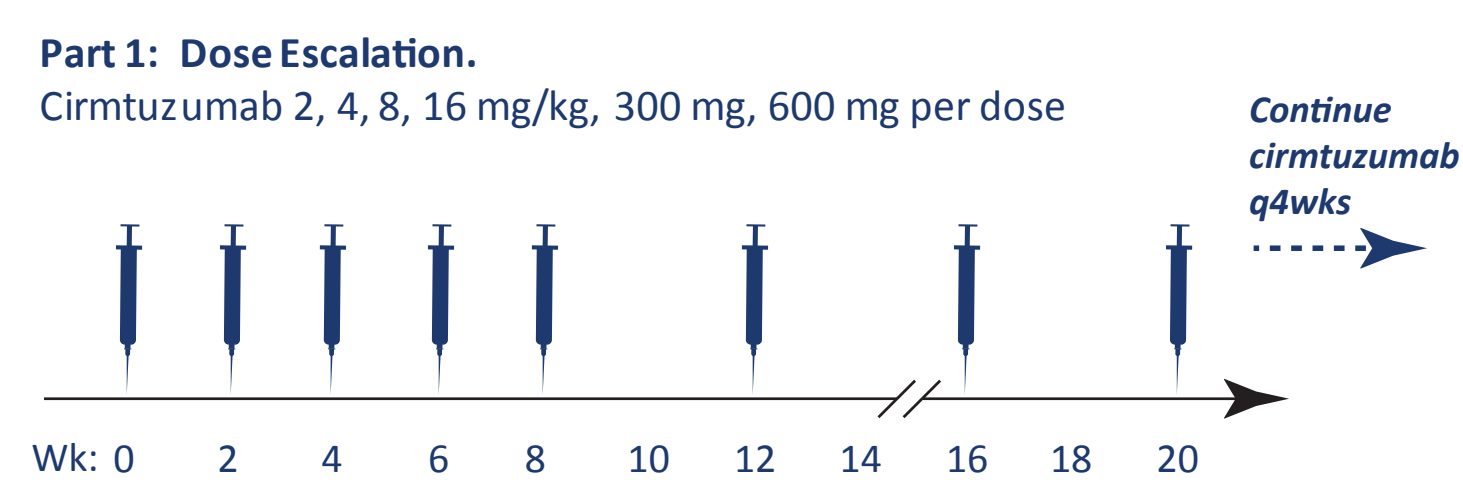
UPDATE SINCE ABSTRACT

On 02FEB2021, we reported in this ASCO abstract, 82% ORR, 41% CR, 41% PR, 12% SD, and 6% PD in 17 evaluable relapsed/refractory MCL patients.

As of 16APR2021, we now report, 83.3% ORR, 38.9% CR, and 44.4% PR, 11.1% SD and 5.6% PD in 18 evaluable relapsed/refractory MCL patients.

PHASE 1/2 STUDY DESIGN

Population: Relapsed/refractory (R/R) MCL or treatment naive or (R/R) CLL SLL with radiographically measurable disease and ECOG<3; Prior BTKinhibitor allowed



- Conventional 3+3 design-Part 1
- Expansion-Part 2
- No DLTs; MTD not reached
- Phase 1 is complete
- RDR: 600 mg IV q2wks x3 then q4wks in combination with ibrutinib at approved doses per indication
- Phase 2 CLL/SLL randomized clinical trial (Part 3) has completed enrollment
- Phase 2 MCL Expansion (Part 2) enrolling new patients

ECOG = Eastern Cooperative Oncology Group, RDR = recommended dose regimen, MTD = maximum tolerated dose, DLT = dose limiting toxicity

DEMOGRAPHY AND CHARACTERISTICS

	MCL n=26	CLL/SLL n=34
Median age, years (min, max)	66.5 (45.0, 85.0)	68.0 (37.0, 86.0)
Male, n (%)	22 (84.6)	26 (76.5)
White, n (%)	19 (73.1)	29 (85.3)
ECOG 0-1, n (%)	23 (88.5)	34 (100)
Median time from diagnosis to study start (years)	1.87 (0.04, 9.15)	5.84 (0.03, 31.33)
Lymphocytosis at baseline, n (%)	3 (11.5)	22 (64.7)
Ki-67 ≥30%, n (%)	14 (70.0) ^a	N/A
sMIP1 Intermediate/High, n (%)	4 (15.4)	N/A
RAI staging at baseline, ≥2, n (%)	N/A	29 (85.3)
LDH >250 U/L, n (%)	N/A	13 (38.2)
Received prior systemic treatments, n (%)	25 (96.2)	22 (64.7)
Median number of prior systemic treatments (range)	2 (1, 5)	2 (1, 15)
Number of prior systemic regimens >1, n (%)	13 (52.0) [*]	13 (59.0) [*]
Prior BTK inhibitor, n (%)	4 (15.4%) ^{**}	0
Prior transplant/cell therapy, n (%)	6 (23.1) [#]	1 (2.9) [#]

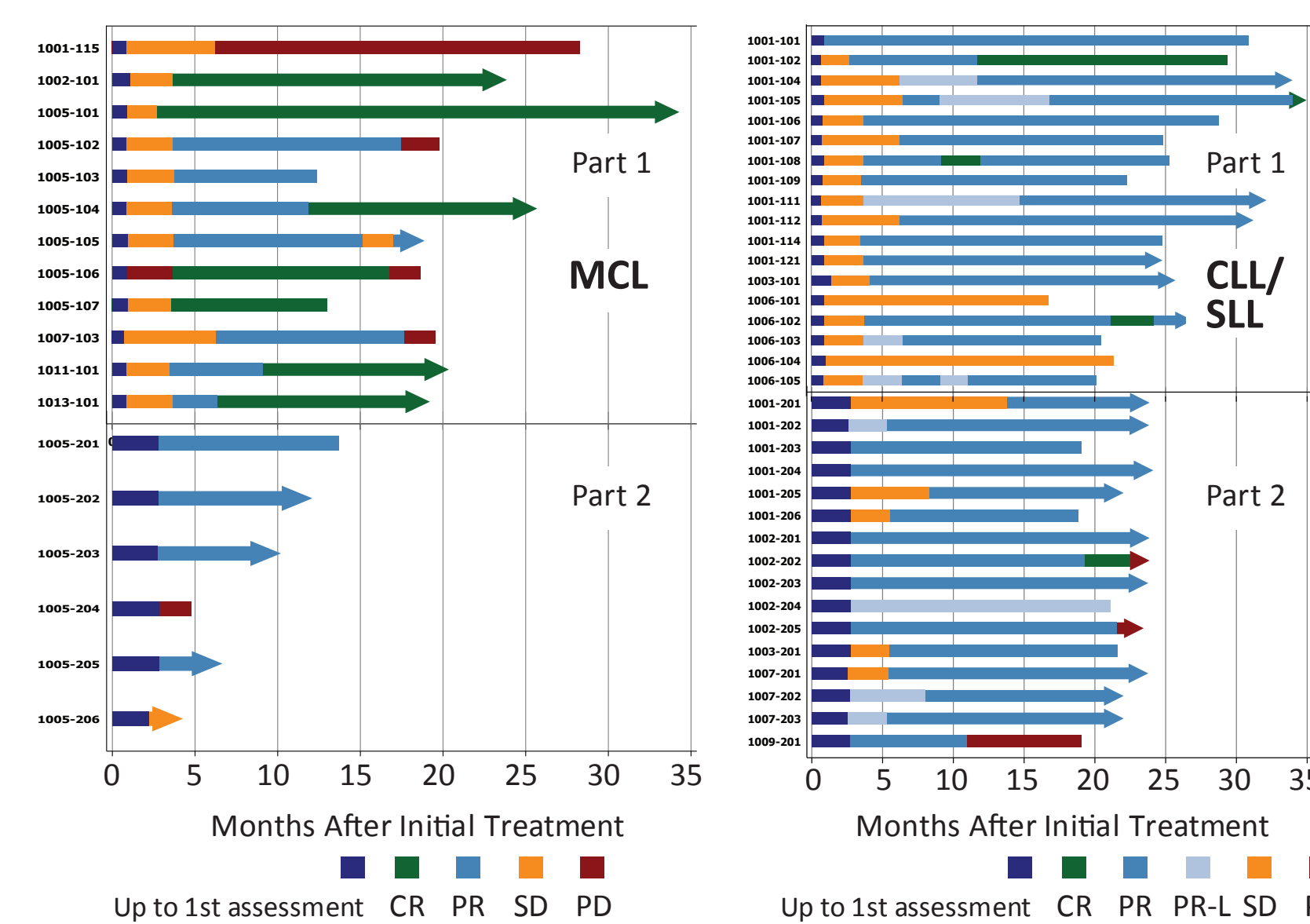
^aPercentage of Ki-67 based on the number of subjects with Ki-67 % assessed; Ki-67 % was assessed in 76.9% (n=20/26) of MCL patients; sMIP1 = Simplified Mantle Cell Lymphoma International Prognostic Index; LDH = lactate dehydrogenase; ^{*}Percentage of prior treatments are based on the number of subjects who had received prior treatments; ^{**}Prior BTK = ibrutinib; [#]Autologous stem cell transplant (n=1); CAR-T (n=1) patients could have received more than one; [#]Autologous stem cell transplant (n=1)

PATIENT DISPOSITION

	MCL	CLL/ SLL
Patients enrolled, n	26	34
Safety population, n	26	34
Efficacy/Evaluable* population, n	18	34
Ongoing, n (%)	14 (77.8)	18 (52.9)
Median Duration of follow-up in months (95% CI)	8.5** (6.67, 14.37)	22.1 (17.63, 22.81)
Discontinued Treatment, n (%)	12 (46.2)	16 (47.1)
Reasons for Discontinuation [†] :		
• Objective disease progression, n (%)	4 (33.3)	1 (6.3)
• Clinical progression, n (%)	2 (16.7)	0
• Adverse event, n (%)	1 (8.3)	5 (31.3)
• Withdrawal of consent, n (%)	3 (25.0)	6 (37.5)
• Investigator Decision/New Treatment, n (%)	1 (8.3)	4 (25.1)
• Death	1 (8.3)	0

[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. *Efficacy/Evaluable population includes patients that received at least one dose of cirtuzumab and had at least one post baseline tumor assessment; ** Short median duration of follow-up secondary to newly enrolled patients with limited follow-up; [†] Percentages for reason for discontinuation are based on the number of patients who discontinued treatment

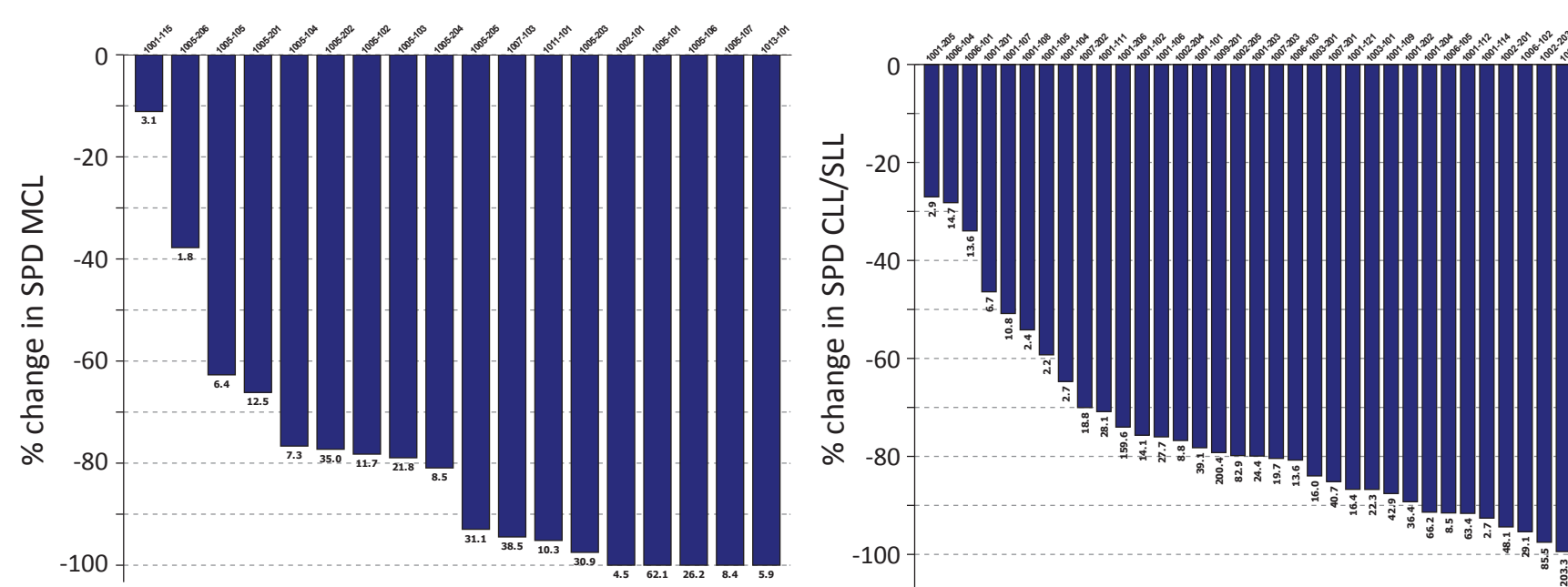
PATIENT OVERVIEW: SWIMMERS PLOT



[†]Data cut: 16APR2021; Bars = time on study including long-term (survival) follow-up; arrows = continuation of study treatment; MCL patient 1005-106: unconfirmed PD; CLL patients 1001-108, 1006-102, 1002-202: unconfirmed CR; CLL patient 1002-202: unconfirmed PD; CLL patient 1002-205: unconfirmed PD

EFFICACY

Waterfall Plot of Best % Tumor Reduction from Baseline SPD (cm²)



[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. Evaluable patients (n=18 MCL; n=34 CLL/SLL); SPD = sum of products of diameters; Number over bars represent baseline SPD

EFFICACY

CLINICAL RESPONSE RATES

	MCL Evaluable=18	CLL/SLL Evaluable=34
Overall Response Rate (ORR), n (%)	15 (83.3)	32 (94.1)
CR, n (%)	7 (38.9)	5 (14.7)
PR, n (%)	8 (44.4)	27* (79.4)
SD, n (%)	2 (11.1)	2 (5.9)
PD, n (%)	1 (5.6)	0
Clinical Benefit Rate, n (%)	17 (94.4)	34 (100)
Median Duration of Response in months (95% CI)	NE (11.93, NE)	NE

[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. Patients were considered evaluable for response if they had 1-dose of cirtuzumab and had 1 post baseline tumor assessment; CR = complete remission, PR = partial remission, SD = stable disease, PD = progressive disease; ORR = number and percent of patients that achieved CR or PR; Clinical benefit rate = number of patients that achieved CR, PR or SD; NE = not estimable; *Includes 1 PR with lymphocytosis

MCL EFFICACY

CLINICAL RESPONSE RATES in Sub-Groups

	All MCL Evaluable=18	Ki-67 ≥30% n=9	1 prior systemic regimen n=9	>1 prior systemic regimen n=9
Overall Response Rate (ORR), n (%)	15 (83.3)	8 (88.9)	7 (77.8)	8 (88.9)
CR, n (%)	7 (38.9)	3 (33.3)	2 (22.2)	5 (55.6)
PR, n (%)	8 (44.4)	5 (55.6)	5 (55.6)	3 (33.3)
SD, n (%)	2 (11.1)	0	1 (11.1)	1 (11.1)
PD, n (%)	1 (5.6)	1 (11.1)	1 (11.1)	0
Clinical Benefit Rate, n (%)	17 (94.4)	8 (88.9)	8 (88.9)	9 (100)
Median Duration of Response in months (95% CI)	NE (11.93, NE)	13.84 (8.66, NE)	NE (11.93, NE)	NE (8.66, NE)
Median Time to First Response in months (95% CI)	2.79 (2.66, 2.79)	2.79 (2.66, 2.79)	2.79 (2.66, 2.85)	2.77 (1.84, 2.82)
Median Time to CR in months (95% CI)	2.79 (1.84, 8.20)	2.79 (2.66, 11.02)	6.84 (2.66, 11.02)	2.79 (1.84, 8.20)

[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. Patients were considered evaluable for response if they had 1-dose of cirtuzumab and had 1 post baseline tumor assessment; CR = complete remission, PR = partial remission, SD = stable disease, PD = progressive disease; ORR = number and percent of patients that achieved CR or PR; Clinical benefit rate = number of patients that achieved CR, PR or SD; NE = not estimable; *Includes 1 PR with lymphocytosis; Time to response analyses in Part 1 first scans were done at day 28, Part 2 first scans were done at 3 months

MCL EFFICACY

PFS in Sub-Groups

	Median PFS in Months	95% CI
Overall (n=18)	NE	(16.52, NE)
Best Response of SD (n=2)	5.18	(NE)
Best Response of PR (n=8)	17.31	(16.52, NE)
Best Response of CR (n=7)	NE	(0.03, NE)
1 Prior Systemic Regimen (n=9)	NE	(2.85, NE)
>1 Prior Systemic Regimen (n=9)	NE	(0.03, NE)

[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. PFS is defined as the time from the first dose to the time of objective disease progression or death from any cause, whichever occurs first; Patients were considered evaluable for response if they had 1-dose of cirtuzumab and had 1 post baseline tumor assessment; CR = complete remission, PR = partial remission, SD = stable disease, NE = not estimable

MCL SAFETY

Treatment Emergent AEs ≥ 20% Incidence (regardless of causality)

	All Grades n (%)	Grades 1-2 n (%)	Grades ≥3 n (%)
N=26			
Fatigue	11 (42.3)	7 (26.9)	4 (15.4)
Diarrhea	9 (34.6)	8 (30.8)	1 (3.8)
Contusion	7 (26.9)	7 (26.9)	0
Dizziness	7 (26.9)	7 (26.9)	0
Nausea	7 (26.9)	7 (26.9)	0

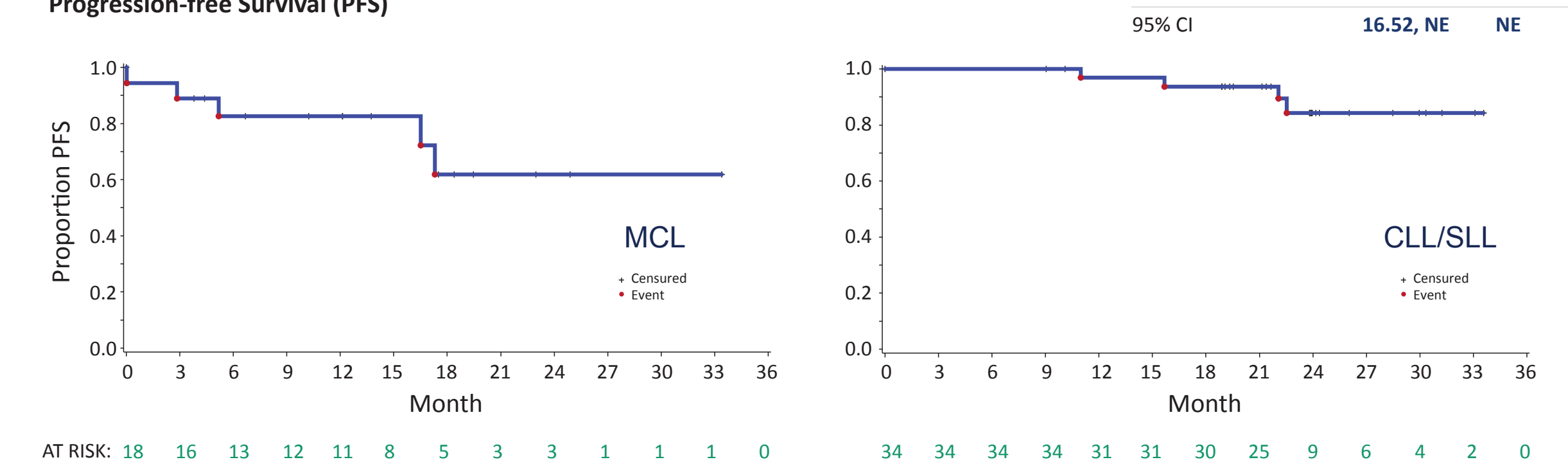
Treatment Emergent Hematologic Laboratory Abnormalities

	All Grades n (%)	Grades 1-2 n (%)	Grades ≥3 n (%)
N=26			
Hemoglobin decreased	17 (65.4)	14 (53.8)	3 (11.5)
Neutrophils decreased	18 (69.2)	4 (15.4)	3 (11.5)
Platelets decreased	15 (57.7)	13 (50.0)	2 (7.7)

[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2. Patients are counted only once at the maximum grade observed after first dose of study medication

EFFICACY

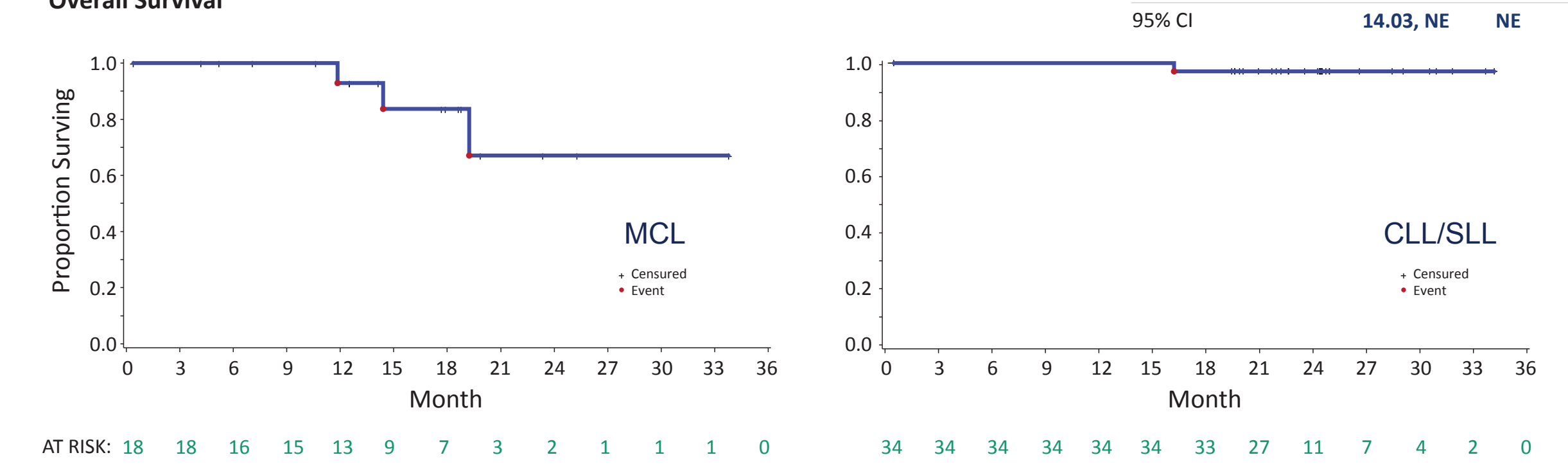
Progression-free Survival (PFS)



[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. Evaluable patients (n=18 MCL; n=34 CLL/SLL); Patients were considered evaluable for response if they had 1-dose of cirtuzumab and had 1 post baseline tumor assessment; PFS is defined as the time from the first dose to the time of objective disease progression or death from any cause, whichever occurs first; NE = not estimable

EFFICACY

Overall Survival



[†]Data cut: 16APR2021; MCL patients include Parts 1 & 2; CLL patients include Parts 1 & 2. Evaluable patients (n=18 MCL; n=34 CLL/SLL); OS is defined as the time from the first dose to the time of death from any cause; NE = not estimable

CLL SAFETY

Treatment Emergent AEs ≥ 20% Incidence (regardless of causality)

	All Grades n (%)	Grades 1-2 n (%)	Grades ≥3 n (%)
N=34			
Contusion	18 (52.9%)	18 (52.9%)	0
Hypertension	16 (47.1%)	9 (26.5%)	7 (20.6%)
Diarrhea	15 (44.1%)	13 (38.2%)	2 (5.9%)
Upper Respiratory Tract Infection	15 (44.1%)	15 (44.1%)	0
Fatigue	14 (41.2%)	14 (41.2%)	0
Arthralgia	11 (32.4%)	10 (29.4%)	1 (2.9%)
Dyspnea	10 (29.4%)	9 (26.5%)	1 (2.9%)
Muscle Spasms	10 (29.4%)	10 (29.4%)	0
Hypophosphatemia	9 (26.5%)	8 (23.5%)	1 (2.9%)
Onychoclasis	9 (26.5%)	9 (26.5%)	0
Rash	9 (26.5%)	9 (26.5%)	0
Cough	8 (23.5%)	8 (23.5%)	0
Gastroesophageal Reflux Disease	8 (23.5%)	8 (23.5%)	0
Hematuria	8 (23.5%)	7 (20.6%)	1 (2.9%)
Dizziness	7 (20.6%)	7 (20.6%)	0
Hypercreatinemia	7 (20.6%)	6 (17.6%)	1 (2.9%)

Treatment Emergent Hematologic Laboratory Abnormalities

	All Grades n (%)	Grades 1-2 n (%)	Grades ≥3 n (%)
N=34			
Hemoglobin decreased	25 (73.5)	25 (73.5)	0
Neutrophils decreased	16 (47.1)	10 (29.4)	6 (17.6)
Platelets decreased	24 (70.5)	24 (70.5)	0

[†]Data cut: 16APR2021; CLL/SLL patients include Parts 1 & 2. Patients are counted only once at the maximum grade observed after first dose of study medication

SUMMARY

Cirtuzumab is a humanized monoclonal antibody designed to inhibit the tumor promoting activity of ROR1

Phase 1 of study is complete in MCL & CLL

RDR of cirtuzumab established

Efficacy is robust in high-risk and heavily pre-treated patients (including prior BTKi)

- High response rates
- Favorable time to response
- Durable response times
- Encouraging PFS estimates signifying good disease control

Safety profile is tolerable and consistent with ibrutinib alone

Phase 2 study in CLL completed enrollment; long-term follow-up awaited

Phase 2 in MCL is currently enrolling

Acknowledgement: Ibrutinib provided by Pharmacyclics LLC, an AbbVie Company